THE LEGACY FINANCIAL GROUP

CONFIDENTIAL

DOCUMENT CHECKLIST

The following documents can assist us in creating your financial plan. You can skip the corresponding section in the fact finder for information provided in the documents. Please provide copies of the following documents.

1. BANK AND BROKERAGE STATEMENTS

[ ] Checking Account Statements
[ ] Savings/CDs/Money Market Statements
[ ] Brokerage Account Statements
[ ] Loan Statements (Personal Residence, Primary Residence, Home Equity/Other Mortgage, Real Estate, Investment/Margin, Credit Card, Automobile)
[ ] IRA/Roth IRA Statements
[ ] Social Security Statement

2. EMPLOYMENT DOCUMENTS

[ ] Payroll Statements
[ ] Employee Benefits Statement
[ ] Group Term Life Insurance
[ ] Group Disability Coverage
[ ] Retirement Plan Statements (401(k), 403(b), 457)
[ ] Pension Plan Statements
[ ] Section 125 Plan (Cafeteria, MSA)
[ ] Stock Option Plan

3. INSURANCE COMPANY (MOST RECENT STATEMENT OR DECLARATION PAGE FOR EACH)

[ ] Life
[ ] Annuity
[ ] Health
[ ] Disability Income
[ ] Long Term Care
[ ] Homeowners
[ ] Auto
[ ] Umbrella/Excess Liability
[ ] Professional Liability

4. TAX AND LEGAL DOCUMENTS

[ ] Latest Income Tax Returns (Last 2 years)
[ ] Loan Documents
[ ] Wills
[ ] Trust Documents
[ ] Settlement Agreements
[ ] Pre- and Post-Nupital Agreements
[ ] Divorce Settlements (alimony and child support)
[ ] Powers of Attorney
[ ] Prepaid Legal Fees
[ ] Business Agreements/Loans
[ ] Employment Contracts

5. BUSINESS DOCUMENTS

[ ] Buy-Sell Agreements
[ ] Deferred Compensation Plans
[ ] Stock Option/Stock Bonus Plan